



OneOhio Recovery Foundation Grant Making Policy

I. Purpose

- a. The Grant Making Policy shall pursue the charitable purposes of the Foundation, by establishing and maintaining processes and systems that demonstrate adherence to those purposes. The Policy demonstrates the Foundation's commitment to being transparent, inclusive, responsive, and deferential to the Regions.
- b. The Grant Making Policy sets forth the roles and responsibilities of the Foundation staff, Regional Boards and Grant Review Committees, the Expert Panel, the Grant Oversight Committee, and the Board of Directors in soliciting, receiving, reviewing, and approving grant applications for the disbursement of Foundation funds. All OneOhio volunteers and staff must review and adhere to the OneOhio Conflicts of Interest policy and all related procedures approved by the OneOhio Board of Directors and implemented by staff.

II. Approved Purposes

- a. Approved Purposes, as written in the OneOhio Memorandum of Understanding (MOU), shall mean:
 - Evidence-based forward-looking strategies, programming and services used to (i) expand the availability of treatment for individuals affected by substance use disorders, (ii) develop, promote and provide evidence-based substance use prevention strategies, (iii) provide substance use avoidance and awareness education, (iv) decrease the oversupply of licit and illicit opioids, and (v) support recovery from addiction services performed by qualified and appropriately licensed providers, as is further set forth in the agreed Opioid Abatement Strategies.
- b. All Foundation grants shall align with the Approved Purposes in the OneOhio MOU.

III. Duties of the Foundation Staff

- a. Staff shall develop and implement a listening process informed by regional and statewide constituents to determine funding priorities as needed.
- b. Staff shall create a process for creating and disseminating requests for proposals that are consistent with established funding purposes and priorities and shall establish guidelines for reviewing submitted proposals in order to assure that they comply with MOU-designated criteria. Processes and procedures shall align with all OneOhio policies, be transparent, account for disclosing, documenting

and avoiding conflicts of interest in the review process, and shall include the participation of the Expert Panel.

- c. The Staff, in collaboration with the Board of Directors and Expert Panel, shall establish a regular process for fiscal and outcome performance reporting. This shall include a review of all funded projects to ensure grantee accountability and funding impact. The process shall include the role and responsibilities of the Foundation Staff, Regional Boards, the Grant Oversight Committee, the Expert Panel, and the Board of Directors.

IV. Duties of the Regional Boards and the Regional Grant Review Committee

- a. The Regional Boards shall identify a Regional Grant Review Committee.
 - i. The Regional Grant Review Committee can be the entire regional board, or it can be a separate subcommittee that may include individuals who are not on the Regional Board.
 - ii. Regions are encouraged to involve stakeholders from the private sector, such as experts in the OneOhio abatement strategies and people with lived experience with substance use disorders, in regional grant decisions.
 - iii. All regional and non-regional board members who participate in the Regional Grant Review Committee are subject to the OneOhio Grant Policy and must complete all necessary documentary and educational pre-requisites.
 - iv. Consideration must be given to avoid conflicts of interest and ensure diversity.
- b. Regional Boards and the Regional Grant Review Committee will access the Grant Portal and sign all required forms and attest to taking all required ethics trainings.
- c. The Regional Grant Review Committee will review requests for funding for their Region that come through the Foundation's grant portal.
- d. Regional Boards will come to a consensus and vote on the grants that best address the needs of the Region and enter the final recommendations in the Foundation's Grant Portal.

V. Duties of the Expert Panel

- a. The Expert Panel, as convened in compliance with the Code of Regulations and MOU, consists of 9 members whose expertise shall inform the work of the Committee in compliance with the Foundation's approved purposes. The Governors Leading Director/Staff member on Substance Use Disorders shall serve as an Ex-Officio Member of the Expert Panel.
- b. The Expert Panel may consult with and advise the Committee on projects to be funded and the review of applications to ensure applications are consistent with the Foundation's purposes to assure that funded programs:
 - i. Reflect an established need;

- ii. Comply with the Evidence-Based Requirement; and
 - iii. Are consistent with one or more abatement strategies.
- c. The Expert Panel will review proposals from the Regions to assure that they meet the Foundation's purposes and are evidence-based.
- d. The Expert Panel, as needed, may seek advice and information from outside experts.

VI. Duties of the Grant Oversight Committee

- a. The Committee and staff shall confer with the Expert Panel to review, approve, and make funding recommendations.
- b. The Committee shall review fiscal and outcome performance reporting. This includes a review of all funded projects to ensure grantee accountability and funding impact.
- c. The Committee shall annually conduct a thorough review of this policy to consider any changes needed after the grant cycle is completed.
- d. Review, score, and recommend statewide grants for funding.

VII. Duties of the Board

- a. The Board, with recommendations from the Audit and Finance and Investment Committee, shall annually determine the amount of funds to be disbursed during a given grant cycle.
- b. The Board shall receive recommendations from the Grant Oversight Committee and make final decisions on awards.

VIII. Composition of the Grant Oversight Committee

- a. The Committee shall be composed of no more than 12 Foundation Board members. The Committee may, as needed in the future, create subcommittees to complete the Committee's work.
- b. The Board of Directors will confirm the membership of the Grant Oversight Committee at each annual Board Meeting. As needed the chair of the Grant Oversight Committee may fill vacancies as detailed in the Code of Regulations.

IX. Grantmaking Process

- a. For all grantmaking, the Foundation staff shall create the Request for Proposal and oversee the application process.
 - i. Grants shall be approved according to the Region's proportionate share.
 - ii. Each Region's share shall be carried forward into the next grantmaking cycle and shall not be redistributed to other regions or statewide projects. Funds committed in multi-year grants shall be allocated entirely from the allocation for the year in which the grant was approved.
- b. Statewide grants
 - i. Statewide funding is defined as a targeted funding round that can be for statewide initiatives, innovation, research, and education. These

may include grantmaking that is responsive to urgent needs.

- ii. Statewide grants shall be for programs, innovation, research, and education and may, but do not have to, come from Regions.
- iii. Statewide grants must comport with recommendations of the Expert Panel.

X. Eligible Entities

- a. OneOhio funds partners across all sectors of the community. Eligible entities may be in any IRS recognized tax-category (profit, non-profit/not-for-profit, including government entities, etc.) that are in good standing with the state and federal governments.

XI. Application Review Process

- a. For Regional grants, the Foundation staff shall conduct an eligibility and compliance check on all applications upon submission. Regional Boards shall review all applications that come in for their Region and make recommendations to the Foundation. The Expert Panel and staff shall conduct a technical review of applications recommended by the Regions to assure the proposed grants meet the criteria of the MOU.
- b. For Statewide grants, the Grant Oversight Committee shall follow its established process for reviewing, scoring, and approving submitted proposals, using the Expert Panel as detailed in the process.
- c. The Grant Oversight Committee shall make recommendations for funding to the Board for decision.

XII. Compliance Check Process

- a. The Foundation staff shall review each funding request for compliance with applicable state and federal registration and reporting requirements, including checking the IRS website for current status, with findings provided to the Regional Boards.

XIII. Grant Approval and Appeal Process

- a. The Board shall vote on regional and statewide grant requests, with a majority affirmative needed for approval.
 - i. According to the Code of Regulations, in all votes of the Board, a measure shall pass if a quorum is present, the measure receives the affirmative votes from a majority of those board members voting, and at least one member from each of the four classes of Board members votes in the affirmative.
- b. The Regional Boards may appeal Foundation Board decisions. All appeals will go to the Executive Committee, who will review the declined proposal and make a recommendation to the Board for a final decision on whether or not to fund the grant.

XIV. Conflicts of Interest and Other Legal Documentation

- a. The Foundation staff, Regional Board Members and Regional Grant Reviewers, Expert Panel members, and Foundation Board Members shall comply with the Foundation's Conflict of Interest Policy, recusing themselves as detailed in the Conflict of Interest Policy and shall operate in a transparent, ethical and non-discriminatory manner.
- b. The Board shall complete a conflict-of-interest document prior to the decision-making meeting, in accordance with an adopted conflict of interest disclosure and documentation process related to proposals.

XV. Post-Grant Compliance

- a. All grantees will be required to comply with fiscal and performance reporting and evaluation requirements as set out in the grant agreement, with such requirements overseen and managed by the Foundation.
- b. The Grant Oversight Committee may, at its discretion, recommend that the Foundation offer technical assistance, networking opportunities and learning events to facilitate information sharing and dissemination of best practices among regions.
- c. Unused regional grant funds shall be returned to the Foundation and shall be retained for that Region.
- d. The Foundation will investigate any alleged misuse of funds and may withhold any remaining grant funds until the resolution of the investigation and will require the reimbursement of any misused funds.